IACUC POLICIES AND PROCEDURES

Training and Continuing Education Requirements

106.1 Purpose
The Animal Welfare Act and the University’s PHS Assurance Policy require the institution to ensure that people caring for or using animals in research are qualified to do so.

106.2 Initial Requirements

106.2.1 For IACUC members including alternates
All IACUC members must have completed the “Essentials for IACUC members” and “Working with the IACUC” online modules.

106.2.2 For Principal Investigators and all personnel listed on protocols
All personnel who plan to work with animals must be listed on an animal care and use protocol and must initially complete the following before the protocol will be approved and studies can begin:

1) "Working with the IACUC" and “AVMA Guidelines for the Euthanasia of Animals: 2013 Edition”. [Note: Personnel listed only on protocols where euthanasia is not performed, are exempt from taking “AVMA Guidelines for the Euthanasia of Animals: 2013 Edition”.] These online training modules are provided by the AALAS Learning Library and administered through the Office of Research Integrity – IACUC (ORI-IACUC). Please contact Angela Croucher at Angela.Croucher@uky.edu.

2) Occupational Health Training provided by the Division of Laboratory Animal Resources (DLAR).

3) Medical Surveillance Form (MSF) provided by University Health Services (UHS). New participants in animal research will be contacted by UHS to complete the MSF upon submission of the IACUC protocol or amendment containing the addition of new study personnel. This form must be renewed annually.

4) Personnel listed on a protocol to perform surgical procedures must complete the relevant animal surgery courses outlined below:

   Non-Agricultural Animals and Poultry
   a. “Aseptic Technique for Rodent Survival Surgery” or “LAT 13: Aseptic Technique and Surgical Support and Anesthesia” offered by AALAS Learning Library
   and
   b. at least one of the following: “Pain Management in Laboratory Animals (2014)”, “Post-procedure Care of Mice and Rats in Research: Minimizing Pain and Distress” or “Inhalation Anesthesia Systems for Rodents” offered by AALAS Learning Library.

* The IACUC recommends that personnel with no involvement in the handling of live animals not be listed on the protocol.
Agricultural Large Animals

a. “Principles of Surgery” offered by University of Pennsylvania. Upon completion, email Angela Croucher with your completion date. and


All individuals who have met previous mandatory training requirements by taking courses offered as a part of the Laboratory Animal Training Association (LATA) or for a prior protocol would be considered to have met the above stated initial requirements.

Note: All online training listed above and the UHS Medical Surveillance Form must be completed before protocols or amendments adding personnel will be approved. The above courses when completed to meet initial mandatory education do not count towards meeting your Continuing Education requirement detailed below.

106.3 Continuing Education Requirement *
To maintain the continuity and quality of animal care, the IACUC requires all personnel listed on any active protocol to participate in the continuing education (CE) program. IACUC members are required to participate in a continuing education program to assure that their understanding of regulatory guidelines is current and consistent. The requirements for these continuing education programs are as follows:

106.3.1 For IACUC members including alternates
Complete every three (3) years “Working with the IACUC” or “Refresher: Working with the IACUC” and attend either 6 pre-meeting sessions or complete 3 courses of their choosing from the IACUC/ORI approved list of courses. A combined approach can also be used, with one course in the library considered equal to two pre-meeting sessions.

106.3.2 For Principal Investigators and all personnel listed on protocols
Complete every three (3) years “Working with the IACUC” or “Refresher: Working with the IACUC” and complete one course of their choosing from the IACUC/ORI approved list of courses.

For both initial and continuing education requirements, other training courses may in rare cases meet the requirements listed for the mandatory training above if all elements of the course have been covered and a documented successful test score is provided. Responsibility for determining equivalency rests with the Office of Research Integrity (ORI) or IACUC Chair.

106.4 Exception for Research Training Consultants

* The IACUC recommends that personnel with no involvement in the handling of live animals not be listed on the protocol.
Often research training consultants are brought in for short-term training sessions. Trainers are currently required to be listed on the IACUC protocol if their training involves physical handling, surgical manipulation, or physical interaction with the protocol’s animals and they are required to complete the mandatory education requirements.

Decisions regarding exemptions for short term situations will be considered by the IACUC Chair and AV.

Approved and Adopted by the Institutional Animal Care and Use Committee
June 18, 2008

Amended and Approved by the Institutional Animal Care and Use Committee
March 18, 2009

Amended and Approved by the Institutional Animal Care and Use Committee
April 15, 2009

Amended and Approved by the Institutional Animal Care and Use Committee
June 17, 2009

Amended and Approved by the Institutional Animal Care and Use Committee
July 15, 2009

Amended and Approved by the Institutional Animal Care and Use Committee
April 21, 2010

Amended and Approved by the Institutional Animal Care and Use Committee
October 20, 2010

Amended and Approved by the Institutional Animal Care and Use Committee
July 18, 2012

Amended and Approved by the Institutional Animal Care and Use Committee
November 14, 2012

Amended and Approved by the Institutional Animal Care and Use Committee
December 12, 2012

Administratively updated
February 11, 2015