

INSTITUTIONAL REVIEW BOARD

INTRODUCTION

INTRODUCTION TO THE IRB REVIEW MECHANISM

In accordance with federal and institutional regulations, any undertaking in which a University faculty, staff or student investigates and/or collects data on human subjects for research purposes must be reviewed by either the Medical or Nonmedical Institutional Review Board (IRB). It is the responsibility of each investigator to seek review of any study involving human subjects prior to initiation of the project.

The Medical and Nonmedical IRBs are charged with the institutional responsibility for assurance of protection of human subjects involved in research or related activities. The Medical IRB reviews research emanating from the Colleges of Dentistry, Health Sciences, Medicine, Nursing, Pharmacy and Health Sciences, Public Health, and the Lexington Veteran Affairs Medical Center. The Nonmedical IRBs review research originating from the Colleges of Agriculture, Arts & Sciences, Business & Economics, Communications & Information Studies, Design, Education, Engineering, Fine Arts, Law, and Social Work. The Boards have the authority to approve, require modifications to secure approval, or disapprove all human research activities overseen and conducted by the organization; to observe, or have a third party observe, the consent process; and to observe, or have a third party observe, the conduct of the research; to suspend or terminate approval of research that is not conducted in accordance with IRB requirements or that has resulted in unexpected serious harm to participants, even if previously approved. Also, in cases of noncompliance where corrective action is needed, IRB has the authority to issue appropriate sanctions including but not limited to requesting minor changes, determining data collected cannot be used for publication, suspending or terminating approval, disqualifying investigators from conducting research involving human subjects at the University, and recommending to University administration that further administrative action be taken.

Under federal regulations, an investigator's application to conduct a research project involving human subjects can be processed by the IRBs in three ways:

by full review.
by exemption certification;
by expedited review;

The preliminary determination that a research project is eligible for exemption certification or expedited review is made by the investigator. Attached are the federally-mandated criteria which serve as a guide in making this determination. The categories eligible for exemption are listed on page 3; the expedited review criteria are on page 4-5.

The investigator should carefully review the attached information. Questions of interpretation may be directed to the Office of Research Integrity at 859-257-9428. After the type of review required for the project has been determined, the appropriate review application packet may be obtained from the Office of Research Integrity.

Also, included in the following pages is a list of the submission deadline dates and the meeting dates for the Medical and Nonmedical IRBs and a list of the members serving on the respective IRBs.

Finally, special instructions for investigators whose research is being submitted to or supported by an external funding agency are included on pages 14-16.

FULL REVIEWS

Research that cannot meet the criteria for exempt or expedited review must be submitted for full review.

EXEMPTION CERTIFICATION ELIGIBILITY REQUIREMENTS

Research activities in which the ONLY involvement of human subjects will be in one or more specified categories are eligible for exemption certification. Note, however, that the exemption categories do not apply when the research activities include the following:

- prisoners
- survey or interview techniques which include minors as subjects (this applies to exemption category #2 only)
- the observation of minors where the investigator participates in the activities being observed (this applies to exemption category #2 only)
- Food and Drug Administration (FDA) regulated research (this applies to exemption categories #1-5)

The categories of research activities eligible for exemption certification are as follows:

1. Research conducted in established or commonly accepted educational settings, involving normal educational practices, such as (i) research on regular and special educational instructional strategies, or (ii) research on the effectiveness of or the comparison among instructional techniques, curricula, or classroom management methods.
2. Research involving the use of educational tests (cognitive, diagnostic, aptitude, achievement), survey procedures, interview procedures or observation of public behavior, unless: (i) information obtained is recorded in such a manner that human subjects can be identified, directly or through identifiers linked to the subjects; and (ii) any disclosure of the human subjects' responses outside the research could reasonably place the subjects at risk of criminal or civil liability or be damaging to the subjects' financial standing, employability, or reputation. For Veteran Affairs Medical Center (VAMC) research, damage to the subjects' insurability must also be taken into consideration.
3. Research involving the use of educational tests (cognitive, diagnostic, aptitude, achievement), survey procedures, interview procedures, or observation of public behavior that is not exempt under category (b) of this section, if: the human subjects are elected or appointed public officials or candidates for public office; or (ii) federal statute(s) require(s) without exception that the confidentiality of the personally identifiable information will be maintained throughout the research and thereafter.
4. Research involving the collection or study of existing data, documents, records, pathological specimens, or diagnostic specimens, if these sources are publicly available or if the information is recorded by the investigator in such a manner that subjects cannot be identified, directly or through identifiers linked to the subjects. PLEASE NOTE: According to the Office for Human Research Protections (OHRP), "to qualify for this exemption, the data, documents, records, or specimens must be in existence before the project begins. The principle behind this policy is that the rights of individuals should be respected; subjects must consent to participation in research."
5. Research and demonstration projects which are conducted by or subject to the approval of department of agency heads, and which are designed to study, evaluate, or otherwise examine: (i) public benefit or service programs; (ii) procedures for obtaining benefits or services under those programs; (iii) possible changes in or alternatives to those programs or procedures; (iv) possible changes in methods or levels of payment for benefits or services under those programs; (v) projects for which there is no statutory requirement for IRB review; (vi) projects that do not involve significant physical invasions or intrusions upon the privacy interests of participants; (vii) authorization or concurrence by funding agencies that exemption from IRB review is acceptable.
6. Taste and food quality evaluation and consumer acceptance studies, (i) if wholesome food without additives are consumed or (ii) if a food is consumed that contains a food ingredient at or below the level and for a use found to be safe, or agricultural chemical or environmental contaminant at or below the level found to be safe, by the Food and Drug Administration or approved by the Environmental Protection Agency or the Food Safety and Inspection Service of the U.S. Department of Agriculture.

A description of the exemption certification procedures and a copy of the application form may be obtained by calling the Office of Research Integrity, 405 Kinkead Hall, 859-257-9084.

EXPEDITED REVIEW ELIGIBILITY REQUIREMENTS
Effective November 9, 1998

Applicability

- (A) Research activities that (1) present no more than minimal risk to human subjects, and (2) involve only procedures listed in one or more of the following categories, may be reviewed by the IRB through the expedited review procedure authorized by 45 CFR 46.110 and 21 CFR 56.110. The activities listed should not be deemed to be of minimal risk simply because they are included on this list. Inclusion on this list merely means that the activity is eligible for review through the expedited review procedure when the specific circumstances of the proposed research involve no more than minimal risk to human subjects.
- (B) The categories in this list apply regardless of the age of subjects, except as noted.
- (C) The expedited review procedure may not be used where identification of the subjects and/or their responses would reasonably place them at risk of criminal or civil liability or be damaging to the subjects' financial standing, employability, insurability, reputation, or be stigmatizing, unless reasonable and appropriate protections will be implemented so that risks related to invasion of privacy and breach of confidentiality are no greater than minimal.
- (D) The expedited review procedure may not be used for classified research involving human subjects.
- (E) IRBs are reminded that the standard requirements for informed consent (or its waiver, alteration, or exception) apply regardless of the type of review—expedited or convened—utilized by the IRB.
- (F) Categories one (1) through seven (7) pertain to both initial and continuing IRB review.

In addition, the IRB may also use the expedited review procedure to review minor changes in previously approved research during the period for which approval is authorized.

Research Categories

- 1) Clinical studies of drugs and medical devices only when condition (a) or (b) is met.
 - (a) Research on drugs for which an investigational new drug application (21 CFR Part 312) is not required. (Note: Research on marketed drugs that significantly increases the risks or decreases the acceptability of the risks associated with the use of the product is not eligible for expedited review.)
 - (b) Research on medical devices for which (i) an investigational device exemption application (21 CFR Part 812) is not required; or (ii) the medical device is cleared/approved for marketing and the medical device is being used in accordance with its cleared/approved labeling.
- 2) Collection of blood samples by finger stick, heel stick, ear stick, or venipuncture as follows:
 - (a) From healthy, nonpregnant adults who weigh at least 110 pounds. For these subjects, the amounts drawn may not exceed 550 ml in an 8 week period and collection may not occur more frequently than 2 times per week; or
 - (b) From other adults and children¹ considering the age, weight, and health of the subjects, the collection procedure, the amount of blood to be collected, and the frequency with which it will be collected. For these subjects, the amount drawn may not exceed the lesser of 50 ml or 3 ml per kg in an 8 week period and collection may not occur more frequently than 2 times per week.
- 3) Prospective collection of biological specimens for research purposes by noninvasive means. Examples: (a) Hair and nail clippings in a nondisfiguring manner; (b) deciduous teeth at time of exfoliation or if routine patient care indicates a need for extraction; (c) permanent teeth if routine patient care indicates a need for extraction; (d) excreta and external secretions (including sweat); (e) uncannulated saliva collected either in an unstimulated fashion or stimulated by chewing gumbase or wax or by applying a dilute citric solution to the tongue; (f) placenta removed at delivery; (g) amniotic fluid obtained at the time of rupture of the membrane prior to or during labor; (h) supra- and subgingival dental plaque and calculus, provided the collection procedure is not more invasive than routine prophylactic scaling of the teeth and the process is accomplished in accordance with accepted prophylactic techniques; (i) mucosal and skin cells collected by buccal scraping or swab, skin swab, or mouth washings; (j) sputum collected after saline mist nebulization.

MEDICAL INSTITUTIONAL REVIEW BOARD MEETING DATES
THE MEDICAL IRB MEETS WEEKLY; THERE ARE NO DEADLINE DATES. PROTOCOLS
SUBMITTED FOR REVIEW WILL BE PLACED ON THE NEXT AVAILABLE AGENDA.

Agendas are run approximately 10-14 days prior to a meeting

July 1, 2009 - December 31, 2010

	<u>Meeting Date</u>	<u>Weekday</u>	<u>Meeting Place</u>	<u>Time</u>	<u>ORI Contact</u>
2009	July 7	Tuesday	410 Bosomworth HSRB	1:00pm	Ashlie Heald
	July 9	Thursday	410 Bosomworth HSRB	1:15pm	Beverly Raisor
	July 15	Wednesday	410 Bosomworth HSRB	1:15pm	Suzanne Arnold
	July 20	Monday	410 Bosomworth HSRB	1:15pm	Amy Kolasa
	July 28	Tuesday	410 Bosomworth HSRB	1:00pm	Ashlie Heald
	July 30	Thursday	410 Bosomworth HSRB	1:15pm	Beverly Raisor
	August 5	Wednesday	410 Bosomworth HSRB	1:15pm	Suzanne Arnold
	August 10	Monday	410 Bosomworth HSRB	1:15pm	Amy Kolasa
	August 18	Tuesday	410 Bosomworth HSRB	1:00pm	Ashlie Heald
	August 20	Thursday	410 Bosomworth HSRB	1:15pm	Beverly Raisor
	August 26	Wednesday	410 Bosomworth HSRB	1:15pm	Suzanne Arnold
	August 31	Monday	410 Bosomworth HSRB	1:15pm	Amy Kolasa
	September 8	Tuesday	410 Bosomworth HSRB	1:00pm	Ashlie Heald
	September 10	Thursday	410 Bosomworth HSRB	1:15pm	Beverly Raisor
	September 21	Monday	410 Bosomworth HSRB	1:15pm	Amy Kolasa
	September 23	Wednesday	501A College of Nursing	1:15pm	Suzanne Arnold
	September 29	Tuesday	410 Bosomworth HSRB	1:00pm	Ashlie Heald
	October 1	Thursday	410 Bosomworth HSRB	1:15pm	Beverly Raisor
	October 7	Wednesday	410 Bosomworth HSRB	1:15pm	Suzanne Arnold
	October 12	Monday	410 Bosomworth HSRB	1:15pm	Amy Kolasa
	October 20	Tuesday	410 Bosomworth HSRB	1:00pm	Ashlie Heald
	October 22	Thursday	410 Bosomworth HSRB	1:15pm	Beverly Raisor
	October 28	Wednesday	410 Bosomworth HSRB	1:15pm	Suzanne Arnold
	November 2	Monday	410 Bosomworth HSRB	1:15pm	Amy Kolasa
	November 10	Tuesday	410 Bosomworth HSRB	1:00pm	Ashlie Heald
	November 12	Thursday	410 Bosomworth HSRB	1:15pm	Beverly Raisor
	November 18	Wednesday	410 Bosomworth HSRB	1:15pm	Suzanne Arnold
	November 23	Monday	410 Bosomworth HSRB	1:15pm	Amy Kolasa
	December 1	Tuesday	410 Bosomworth HSRB	1:00pm	Ashlie Heald
	December 9	Wednesday	410 Bosomworth HSRB	1:15pm	Suzanne Arnold
	December 14	Monday	410 Bosomworth HSRB	1:15pm	Amy Kolasa
2010	January 11	Monday	410 Bosomworth HSRB	1:15pm	Amy Kolasa
	January 14	Thursday	410 Bosomworth HSRB	1:15pm	Beverly Raisor
	January 20	Wednesday	410 Bosomworth HSRB	1:15pm	Suzanne Arnold
	January 26	Tuesday	410 Bosomworth HSRB	1:00pm	Ashlie Heald
	February 1	Monday	410 Bosomworth HSRB	1:15pm	Amy Kolasa
	February 10	Wednesday	410 Bosomworth HSRB	1:15pm	Suzanne Arnold

February 16	Tuesday	410 Bosomworth HSRB	1:00pm	Ashlie Heald
February 22	Monday	410 Bosomworth HSRB	1:15pm	Amy Kolasa
February 25	Thursday	410 Bosomworth HSRB	1:15pm	Beverly Raisor
March 3	Wednesday	410 Bosomworth HSRB	1:15pm	Suzanne Arnold
March 9	Tuesday	410 Bosomworth HSRB	1:00pm	Ashlie Heald
March 15	Monday	410 Bosomworth HSRB	1:15pm	Amy Kolasa
March 18	Thursday	410 Bosomworth HSRB	1:15pm	Beverly Raisor
March 24	Wednesday	410 Bosomworth HSRB	1:15pm	Suzanne Arnold
March 30	Tuesday	410 Bosomworth HSRB	1:00pm	Ashlie Heald
April 5	Monday	410 Bosomworth HSRB	1:15pm	Amy Kolasa
April 8	Thursday	410 Bosomworth HSRB	1:15pm	Beverly Raisor
April 14	Wednesday	410 Bosomworth HSRB	1:15pm	Suzanne Arnold
April 20	Tuesday	410 Bosomworth HSRB	1:00pm	Ashlie Heald
April 26	Monday	410 Bosomworth HSRB	1:15pm	Amy Kolasa
April 29	Thursday	410 Bosomworth HSRB	1:15pm	Beverly Raisor
May 5	Wednesday	410 Bosomworth HSRB	1:15pm	Suzanne Arnold
May 11	Tuesday	410 Bosomworth HSRB	1:00pm	Ashlie Heald
May 17	Monday	410 Bosomworth HSRB	1:15pm	Amy Kolasa
May 20	Thursday	410 Bosomworth HSRB	1:15pm	Beverly Raisor
May 26	Wednesday	410 Bosomworth HSRB	1:15pm	Suzanne Arnold
June 1	Tuesday	410 Bosomworth HSRB	1:00pm	Ashlie Heald
June 7	Monday	410 Bosomworth HSRB	1:15pm	Amy Kolasa
June 10	Thursday	410 Bosomworth HSRB	1:15pm	Beverly Raisor
June 16	Wednesday	410 Bosomworth HSRB	1:15pm	Suzanne Arnold
June 22	Tuesday	410 Bosomworth HSRB	1:00pm	Ashlie Heald
June 28	Monday	410 Bosomworth HSRB	1:15pm	Amy Kolasa
July 1	Thursday	410 Bosomworth HSRB	1:15pm	Beverly Raisor
July 7	Wednesday	410 Bosomworth HSRB	1:15pm	Suzanne Arnold
July 13	Tuesday	410 Bosomworth HSRB	1:00pm	Ashlie Heald
July 19	Monday	410 Bosomworth HSRB	1:15pm	Amy Kolasa
July 22	Thursday	410 Bosomworth HSRB	1:15pm	Beverly Raisor
July 28	Wednesday	410 Bosomworth HSRB	1:15pm	Suzanne Arnold
August 3	Tuesday	410 Bosomworth HSRB	1:00pm	Ashlie Heald
August 9	Monday	410 Bosomworth HSRB	1:15pm	Amy Kolasa
August 12	Thursday	410 Bosomworth HSRB	1:15pm	Beverly Raisor
August 18	Wednesday	410 Bosomworth HSRB	1:15pm	Suzanne Arnold
August 24	Tuesday	410 Bosomworth HSRB	1:00pm	Ashlie Heald
August 30	Monday	410 Bosomworth HSRB	1:15pm	Amy Kolasa
September 2	Thursday	410 Bosomworth HSRB	1:15pm	Beverly Raisor
September 8	Wednesday	410 Bosomworth HSRB	1:15pm	Suzanne Arnold
September 14	Tuesday	410 Bosomworth HSRB	1:00pm	Ashlie Heald
September 20	Monday	410 Bosomworth HSRB	1:15pm	Amy Kolasa
September 23	Thursday	410 Bosomworth HSRB	1:15pm	Beverly Raisor
September 29	Wednesday	410 Bosomworth HSRB	1:15pm	Suzanne Arnold
October 5	Tuesday	410 Bosomworth HSRB	1:00pm	Ashlie Heald
October 11	Monday	410 Bosomworth HSRB	1:15pm	Amy Kolasa
October 14	Thursday	410 Bosomworth HSRB	1:15pm	Beverly Raisor
October 20	Wednesday	410 Bosomworth HSRB	1:15pm	Suzanne Arnold

October 26	Tuesday	410 Bosomworth HSRB	1:00pm	Ashlie Heald
November 1	Monday	410 Bosomworth HSRB	1:15pm	Amy Kolasa
November 4	Thursday	410 Bosomworth HSRB	1:15pm	Beverly Raisor
November 10	Wednesday	410 Bosomworth HSRB	1:15pm	Suzanne Arnold
November 16	Tuesday	410 Bosomworth HSRB	1:00pm	Ashlie Heald
November 22	Monday	410 Bosomworth HSRB	1:15pm	Amy Kolasa
November 30	Tuesday	410 Bosomworth HSRB	1:00pm	Ashlie Heald
December 2	Thursday	410 Bosomworth HSRB	1:15pm	Beverly Raisor
December 8	Wednesday	410 Bosomworth HSRB	1:15pm	Suzanne Arnold
December 13	Monday	410 Bosomworth HSRB	1:15pm	Amy Kolasa
December 21	Tuesday	410 Bosomworth HSRB	1:00pm	Ashlie Heald

Updated 11/10/09

*HSRB= Health Sciences Research Building

NONMEDICAL IRB DEADLINE AND MEETING DATES

ALL MATERIAL FOR INSTITUTIONAL BOARD REVIEW IS TO BE SUBMITTED
TO THE OFFICE OF RESEARCH INTEGRITY BY 5 P.M.
ON THE SPECIFIED DEADLINE DATE

NOTE: The Office of Research Integrity CLOSES at 5 p.m

Expedited and Full Review will be conducted at all of the following meetings.

DEADLINE DATES FOR SUBMISSION OF PROTOCOLS	*MEETING DATES* FOR REVIEW OF PROTOCOLS
FRIDAY	***FRIDAY***
August 28, 2009	September 11, 2009
September 18, 2009	October 2, 2009
October 9, 2009	October 23, 2009
November 6, 2009	November 20, 2009
November 19, 2009	December 4, 2009
December 11, 2009	January 8, 2010
January 15, 2010	January 29, 2010
February 5, 2010	February 19, 2010
February 26, 2010	March 12, 2010
March 19, 2010	April 2, 2010
April 9, 2010	April 23, 2010
April 30, 2010	May 14, 2010
May 21, 2010	June 4, 2010
June 11, 2010	June 25, 2010
July 2, 2010	July 16, 2010
July 23, 2010	August 6, 2010
August 13, 2010	August 27, 2010

All Nonmedical IRB Meetings are held in 104 Gillis Building

Revised 10/30/09

NOTICE!!

Proposals submitted after 5 p.m. on the deadline date will be scheduled for the next meeting. Due to our Computerized System, the deadlines will be adhered to strictly. For additional information, please contact the Office of Research Integrity at 859-257-1639.

Submission Number: 19770
IORG Number: IORG0000250

U.S. Department of Health and Human Services (DHHS)
Institutional Review Board / Independent Ethics Committee Registration

1. Organization Operating the IRB(s)

Name of Organization: U OF KENTUCKY & DEPT VAMC-FACILITY 596

Mailing Address: 315 KINKEAD HALL
UNIVERSITY OF KENTUCKY

Street Address:

City: LEXINGTON State: KY Zip Code: 40506-0057

2. Senior or Head Official of Organization Operating the IRB(s)

First Name: JAMES Middle Initial: W Last Name: TRACY

Degrees or Suffix: PH.D. Organizational Title: VICE PRESIDENT FOR RESEARCH

Institution: UNIVERSITY OF KENTUCKY AND DEPT VA MED CTR-FACILITY 596

Telephone: 859 257-5294 Fax: 859 323-2800 E-Mail: JTRACY@UKY.EDU

Mailing Address: UNIVERSITY OF KENTUCKY
311 MAIN BUILDING

Street Address:

City: LEXINGTON State: KY Zip Code: 40506-0033

3. Person Providing This Information

First Name: ALBERT Middle Initial: L Last Name: ITEN

Degrees or Suffix: DVM Organizational Title: ASSOCIATE DIRECTOR, OFFICE OF RESEARCH INTEGRITY

Telephone: 859 257-8295 Fax: 859 257-9700 E-Mail: ALITEN@EMAIL.UKY.EDU

Submission Number: 19770

IRB Number: IRB00000423

U OF KENTUCKY IRB #1 - MED MONDAY MEMBER ROSTER

VOTING MEMBERS

Mem #	Name	Gender	Affiliated?	Scientist?	Degree	Specialty
1	(Chair) FOSTER, THOMAS Comment: OS, exp 08/31/09	M	Y	Y	Pharm.D	Pharmacology
3	CIBULL, MICHAEL L. Comment: PS, exp 08/31/09	M	Y	Y	MD	Pathology
100	TINDALL, LYN Comment: SS, VA Rep, exp 08/31/12	F	Y	Y	PhD	Education
59	CUNNINGHAM, LARRY Comment: PS, exp 08/31/09	M	Y	Y	DDS, MD	Oral and Maxillofacial Surgery
60	DAWSON, DOLPH Comment: OS, exp 08/31/10	M	Y	Y	DMD, MS	Oral Health Practice
13	WOLF, LYLE Comment: NS, Community Member, exp 08/31/11	M	N	N	BE	Engineering (Retired)
61	BLUMENSCHIN, KAREN Comment: OS, SS, Vice Chair, exp 08/31/11	F	Y	Y	PharmD	Pharmacology, Behavioral Science
73	NOONAN, JACQUELINE Comment: PS, Childrens Advocate, exp 08/31/10	F	Y	Y	MD	Pediatrics
70	DAY, JAMES Comment: PS, VA Rep, exp 08/31/10	M	Y	Y	MD	Internal Medicine/Pathology

Submission Number: 19770

IRB Number: IRB00000423

U OF KENTUCKY IRB #1 - MED MONDAY MEMBER ROSTER

ALTERNATE MEMBERS

Alt #	Name	Gender	Affiliated?	Scientist?	Degree	Specialty
71	YEPES, JUAN Substitutes: (3,59,73) PS, exp 08/31/10	M	Y	Y	DDS, MD, MPH	Oral Health Practice
81	HOLTMAN, JOE Substitutes: (3,59,73) PS, exp 08/31/10	M	Y	Y	MD, PhD	Anesthesiology
82	WHAYNE, THOMAS Substitutes: (3,59,73) PS, exp 08/31/09	M	Y	Y	MD	Cardiology
83	SKAFF, KAREN Substitutes: (61,100) SS, exp 08/31/09	F	Y	Y	PhD	Higher Education
18	HAHN, ELLEN J. Substitutes: (1,60,61) OS, exp 08/31/11	F	Y	Y	DNS	Nursing, Drug Prevention
24	MALONE, TERRY Substitutes: (1,60,61) OS, exp 08/31/11	M	Y	Y	EdD	Rehabilitation Sciences
39	WHITE, CAROL Substitutes: (1,60,61) OS, exp 08/31/10	F	Y	Y	MPH	Epidemiology
51	KOCH, ALVARO Substitutes: (3,59,73) PS, exp 08/31/11	M	Y	Y	MD	Transplant Surgery, Gastroenterology
72	RYAN, STEPHEN Substitutes: (3,59,73) PS, exp 08/31/10	M	Y	Y	MD	Neurology/Psychiatry
91	SULLIVAN, DON Substitutes: (13) NS, Community Member, exp 08/31/10	M	N	N	AB	Political Science
93	WILSON, GEORGE Substitutes: (13) NS, Community Member, exp 08/31/11	M	N	N	N/A	Business (Retired)
94	ROARK-TRUMBLE, KIMBERLEY Substitutes: (13) NS, exp 08/31/11	F	Y	N	MBA	Business
95	HARPER, LEE Substitutes: (13) NS, Community Member, exp 08/31/11	M	N	N	N/A	Business (Retired)
16	DAMM, DOUGLAS D Substitutes: (1,60,61) OS, exp 08/31/10	M	Y	Y	DDS	Dental Pathology
20	HIRSCHOWITZ, EDWARD Substitutes: (70) PS, VA Rep, exp 08/31/11	M	Y	Y	MD	Pulmonary Oncology
28	RICE, LINDA Substitutes: (1,60,61) OS, exp 08/31/10	F	Y	Y	RN	Nursing
31	SHAPIRO, ROBERT Substitutes: (1,60,61) OS, exp 08/31/11	M	Y	Y	PhD	Exercise Physiology
44	JOHNSTON, THOMAS Substitutes: (3,59,73) PS, Children Advocate, exp 08/31/10	M	Y	Y	MD	Transplant Surgery, Nephrology, Pediatrics
65	NELSON, BILL Substitutes: (13) NS, Community Member, exp 08/31/11	M	N	N	BS	Mathematics
87	BAKER, DORIS Substitutes: (1,60,61) OS, exp 08/31/09	F	Y	Y	PhD	Clinical Sciences
88	YANNELLI, JOHN Substitutes: (1,60,61) OS, exp 08/31/11	M	Y	Y	PhD	Immunotherapy
89	RUSH, CRAIG Substitutes: (61,100) SS, exp 08/31/10	M	Y	Y	PhD	Behavioral Science
90	KANUNGO, SHIBANI Substitutes: (3,59,73) PS, Children Advocate, exp 08/31/10	F	Y	Y	MD, MPH	Pediatrics
19	HALL, DEBRA Substitutes: (1,60,61) OS, exp 08/31/10	F	Y	Y	MSN	Nursing
38	WALKER, ROBERT Substitutes: (61,100) SS, Prisoner Rep, exp 08/31/10	M	Y	Y	MSW, LCSW, M.Div	Social Work

Submission Number: 19770

IRB Number: IRB00000423

U OF KENTUCKY IRB #1 - MED MONDAY MEMBER ROSTER

ALTERNATE MEMBERS

74	MILLION, GEORGE	M	N	Y	MA	Counseling Psychology
	Substitutes: (61,100) SS, Prisoner Rep, exp 08/31/10					
96	KIRSH, KEN	M	Y	Y	PhD	Hematology/Oncology
	Substitutes: (1,60,61) OS, exp 08/31/10					
97	SEABOLD, BILL	M	N	N	BA	Corrections
	Substitutes: (13) NS, Prisoner Rep, exp 08/31/10					
98	JENKINS, LEE	M	N	N	BA	Business
	Substitutes: (13) NS, Community Member, exp 08/31/11					
99	LYNCH, ROBERT	M	N	Y	DVM	Veterinary Medicine
	Substitutes: (13) OS, Community Member, exp 08/31/11					
35	SPIKER, JAMES	M	N	N	N/A	Retired
	Substitutes: (13) NS, Community Member, exp 08/31/11					
77	STATON-TINDALL, MICHELLE	F	Y	Y	PhD	Social Work
	Substitutes: (61,100) SS, Prisoner Rep, exp 08/31/10					
78	HAAS, SCOTT	M	N	Y	MD	Psychiatry
	Substitutes: (3,59,73) PS, Prisoner Rep, exp 08/31/10					
101	DUKE, MARY	F	Y	Y	MD	Geriatrics, Pediatrics, Pathology
	Substitutes: (70) PS, Decisionally Impaired, Children Advocate, VA Rep, exp 08/31/11					
68	MCCLUNG, JEFFREY	M	Y	Y	PhD	Exercise Physiology/Cardiac Rehab
	Substitutes: (1,60,61) OS, exp 08/31/10					
69	FLEISCHMAN, ROGER	M	Y	Y	MD	Hematology/Oncology
	Substitutes: (70) PS, VA Rep, exp 08/31/10					
85	THORNTON, ALICE	F	Y	Y	MD	Infectious Diseases
	Substitutes: (3,59,73) PS, exp 08/31/10					
21	HOLLEMAN, DONALD	M	Y	Y	MD	Internal Medicine
	Substitutes: (70) PS, VA Rep, exp 08/31/11					
32	SHOOK, LORI	F	Y	Y	MD	Pediatrics
	Substitutes: (3,59,73) PS, Children Advocate, exp 08/31/11					
53	TAYLOR, KATHY	F	N	N	MS	Corrections Administration
	Substitutes: (13) NS, Prisoner Rep, exp 08/31/11					
64	CASEY, BARETTA	F	Y	Y	MD, FAFFP	Family Medicine
	Substitutes: (3,59,61,73,76) PS, SS Appalachian/Rural Medical Center Rep, exp 08/31/10					
75	KELLY, THOMAS H.	M	Y	Y	PhD	Behavioral Science
	Substitutes: (61,100) SS, exp 08/31/11					
23	LOGAN, T. K.	F	Y	Y	PhD	Applied Psychology
	Substitutes: (61,100) SS, Prisoner Rep, exp 08/31/10					
25	MCCORMICK, MALKANTHIE	F	Y	Y	MBBS	Infectious Disease
	Substitutes: (1,60,61) OS, exp 08/31/09					
66	PERRINE, WILLIAM	M	N	N	BS	Chemical Engineer (Retired)
	Substitutes: (13) NS, Community Member, exp 08/31/10					
79	REES, JOHN	M	N	Y	MS	Criminology, Correctional Administration
	Substitutes: (1,60,61) OS Prisoner Rep, exp 08/31/10					
80	THOMPSON, LADONNA	F	N	N	BA	Psychology and Corrections
	Substitutes: (61,100) SS, Prisoner Rep, exp 08/31/10					

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U OF KENTUCKY IRB #2 - MED TUESDAY MEMBER ROSTER

VOTING MEMBERS

Mem #	Name	Gender	Affiliated?	Scientist?	Degree	Specialty
1	(Chair) FOSTER, THOMAS Comment: OS, exp 08/31/09	M	Y	Y	Pharm.D	Pharmacology
9	SPIKER, JAMES Comment: NS, Community Member, exp 08/31/11	M	N	N	MS	Colonel US Army (Retired)
58	ZINK, KATHRYN Comment: SS, VA Rep, exp 08/31/11	F	Y	Y	RN, BSN	Staff Development
94	SHOOK, LORI Comment: PS, Vice Chair, Childrens Advocate, exp 08/31/11	F	Y	Y	MD	Pediatrics
4	WHITE, CAROL Comment: OS, exp 08/31/10	F	Y	Y	MPH	Epidemiology
6	WHAYNE, THOMAS Comment: PS, exp 08/31/09	M	Y	Y	MD	Cardiology
5	WALKER, ROBERT Comment: SS, Prisoner Rep, exp 08/31/09	M	Y	Y	MSW, LCSW, M.Div	Social Work
98	WILSON, GEORGE Comment: NS, Community Member, exp 08/31/11	M	N	N	N/A	Business
2	HIRSCHOWITZ, EDWARD Comment: PS, Vice Chair, VA Rep, exp 08/31/11	M	Y	Y	MD	Pulmonary Oncology
3	HOLTMAN, JOE Comment: PS, exp 08/31/10	M	Y	Y	MD, PhD	Anesthesiology
8	SKAFF, KAREN Comment: SS, exp 08/31/09	F	Y	Y	PhD	Higher Education

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IRB Number: IRB00000424

U OF KENTUCKY IRB #2 - MED TUESDAY MEMBER ROSTER

ALTERNATE MEMBERS

Alt #	Name	Gender	Affiliated?	Scientist?	Degree	Specialty
18	DAWSON, DOLPH Substitutes: (1,4) OS, exp 08/31/10	M	Y	Y	DMD, MS	Dentistry
23	KELLY, THOMAS H. Substitutes: (5,8,58) SS, exp 08/31/11	M	Y	Y	PhD	Behavioral Science
35	RICE, LINDA Substitutes: (1,4) OS, exp 08/31/10	F	Y	Y	RN	Nursing
66	NELSON, BILL Substitutes: (9,98) NS, Community Member, exp 08/31/11	M	N	N	BS	Mathematics
67	PERRINE, WILLIAM Substitutes: (9,98) NS, Community Member, exp 08/31/10	M	N	N	BS	Chemical Engineer (Retired)
81	CUNNINGHAM, LARRY Substitutes: (3,6,94) PS, exp 08/31/09	M	Y	Y	DDS, MD	Oral and Maxillofacial Surgery
82	BLUMENSCHIN, KAREN Substitutes: (1,4) OS, exp 08/31/11	F	Y	Y	PharmD	Pharmacology, Behavioral Science
83	THORNTON, ALICE Substitutes: (3,6,94) PS, exp 08/31/10	F	Y	Y	MD	Infectious Diseases
46	JOHNSTON, THOMAS Substitutes: (3,6,94) PS, Children Advocate, exp 08/31/10	M	Y	Y	MD	Nephrology, Pediatrics
73	HALL, DEBRA Substitutes: (1,4) OS, exp 08/31/10	F	Y	Y	MSN	Nursing
74	NOONAN, JACQUELINE Substitutes: (3,6,94) PS, Childrens Advocate, exp 08/31/10	F	Y	Y	MD	Pediatrics
96	JENKINS, LEE Substitutes: (9, 98) NS, Community Member, exp 08/31/11	M	N	N	BA	Business
97	LYNCH, ROBERT Substitutes: (13) OS, Community Member, exp 08/31/11	M	N	Y	DVM	Veterinary Medicine
99	DUKE, MARY Substitutes: (2) PS, Decisionally Impaired, Children Advocate, VA Rep, exp 08/31/11	F	Y	Y	MD	Geriatrics, Pediatrics, Pathology
17	DAMM, DOUGLAS D. Substitutes: (1,4) OS, exp 08/31/10	M	Y	Y	DDS	Dental Pathology
19	FLEISCHMAN, ROGER A. Substitutes: (2) PS, VA Rep, exp 08/31/10	M	Y	Y	MD	Internal Medicine
70	DAY, JAMES Substitutes: (2) PS, VA Rep, exp 08/31/10	M	Y	Y	MD	Internal Medicine/Pathology
71	YEPES, JUAN Substitutes: (3,6,94) PS, exp 08/31/10	M	Y	Y	DDS, MD, MPH	Oral Health Practice
87	RUSH, CRAIG Substitutes: (5, 8) SS, exp 08/31/10	M	Y	Y	PhD	Behavioral Science
88	KANUNGO, SHIBANI Substitutes: (3,6,94) PS, Childrens Advocate, exp 08/31/10	F	Y	Y	MD	Pediatrics
89	SULLIVAN, DON Substitutes: (9,98) NS, Community Member, exp 08/31/10	M	N	N	AB	Political Science
21	HAHN, ELLEN J. Substitutes: (5,8,58) SS, exp 08/31/11	F	Y	Y	RN	Nursing
37	SHAPIRO, ROBERT Substitutes: (1,4) OS, exp 08/31/11	M	Y	Y	PhD	Exercise Physiology
72	RYAN, STEPHEN Substitutes: (3,6,94) PS, exp 08/31/10	M	Y	Y	MD	Neurology/Psychiatry
92	ROARK-TRUMBLE, KIMBERLEY Substitutes: (9,98) NS, exp 08/31/11	F	Y	N	MBA	Business

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U OF KENTUCKY IRB #2 - MED TUESDAY MEMBER ROSTER

ALTERNATE MEMBERS

93	HARPER, LEE	M	N	N	N/A	Business (Retired)
	Substitutes: (9,98) NS, Community Member, exp 08/31/11					
95	SEABOLD, BILL	M	N	N	BA	Corrections
	Substitutes: (9,98) NS, Prisoner Rep, exp 08/31/10					
22	HOLLEMAN, DONALD	M	Y	Y	MD	Internal Medicine
	Substitutes: (2) PS, VA Rep, exp 08/31/11					
57	TAYLOR, KATHY	F	N	N	MS	Corrections Administration
	Substitutes: (9,98) NS, Prisoner Rep, exp 08/31/11					
65	CASEY, BARETTA	F	Y	Y	MD, FAAFP	Family Medicine
	Substitutes: (3,5,6,8,94) PS, SS Appalachian/Rural Medical Center Rep, exp 08/31/10					
77	HAAS, SCOTT	M	Y	Y	MD	Psychiatry, Prison Consultant
	Substitutes: (3,6,94) PS, Prisoner Rep, exp 08/31/10					
78	STATON-TINDALL, MICHELLE	F	Y	Y	PhD	Social Work
	Substitutes: (5, 8) SS, Prisoner Rep, exp 08/31/10					
24	KIRSH, KEN	M	Y	Y	PhD	Hematology/Oncology
	Substitutes: (1,4) OS, exp 08/31/10					
26	LOGAN, T. K.	F	Y	Y	PhD	Applied Psychology
	Substitutes: (5,8,58) SS, Prisoner Rep, exp 08/31/10					
75	MILLION, GEORGE	M	N	Y	MA	Counseling Psychology
	Substitutes: (5,8) SS, Prisoner Rep, exp 08/31/10					
27	MALONE, TERRY	M	Y	Y	EdD	Physical Therapy
	Substitutes: (5,8,58) SS, exp 08/31/11					
56	KOCH, ALVARO	M	Y	Y	MD	Surgery
	Substitutes: (3,6,94) PS, exp 08/31/11					
69	MCCLUNG, JEFFREY	M	Y	Y	PhD	Exercise Physiology/Cardiac Rehab
	Substitutes: (1,4) OS, exp 08/31/10					
85	BAKER, DORIS	F	Y	Y	PhD	Clinical Sciences
	Substitutes: (1,4) OS, exp 08/31/09					
86	YANNELLI, JOHN	M	Y	Y	PhD	Immunotherapy
	Substitutes: (1,4) OS, exp 08/31/11					
15	CIBULL, MICHAEL L.	M	Y	Y	MD	Pathology
	Substitutes: (3,6,94) PS, exp 08/31/09					
28	MCCORMICK, MALKANTHIE	F	Y	Y	MBBS	Infectious Disease
	Substitutes: (1,4) OS, exp 08/31/09					
44	WOLF, LYLE	M	N	N	BE	Engineering (Retired)
	Substitutes: (9,98) NS, Community Member, exp 08/31/11					
79	REES, JOHN	M	N	Y	MS	Criminology, Correctional Administration
	Substitutes: (1,4) OS Prisoner Rep, exp 08/31/10					
80	THOMPSON, LADONNA	F	N	N	BA	Psychology and Corrections
	Substitutes: (5,8) SS, Prisoner Rep, exp 08/31/10					

Submission Number: 19770

IRB Number: IRB00000977

U OF KENTUCKY IRB #3 - MED THURSDAY MEMBER ROSTER

VOTING MEMBERS

Mem #	Name	Gender	Affiliated?	Scientist?	Degree	Specialty
1	(Chair) FOSTER, THOMAS Comment: OS, exp 08/31/09	M	Y	Y	Pharm.D	Pharmacology
9	RICE, LINDA Comment: OS, exp 08/31/10	F	Y	Y	RN	Nursing
10	THORNTON, ALICE Comment: PS, exp 08/31/10	F	Y	Y	MD	Infectious Diseases
5	HOLLEMAN, DONALD Comment: PS, VA Rep, exp 08/31/11	M	Y	Y	MD	Internal Medicine
11	TINDALL, LYN Comment: OS, VA Rep, exp 08/31/09	F	Y	Y	PhD	Education
89	HARPER, LEE Comment: NS, Community Member, exp 08/31/11	M	N	N	N/A	Business
54	BAKER, DORIS Comment: OS, exp 08/31/09	F	Y	Y	PhD	Clinical Sciences
6	JOHNSTON, THOMAS Comment: PS, Childrens Advocate, exp 08/31/10	M	Y	Y	MD	Nephrology, Pediatrics
4	HAHN, ELLEN J. Comment: SS, exp 08/31/11	F	Y	Y	RN, DNS	Drug Prevention
7	MALONE, TERRY Comment: SS, Vice Chair, exp 08/31/11	M	Y	Y	EdD	PT Assessment
68	DAMM, DOUGLAS Comment: OS, exp 08/31/10	M	Y	Y	DDS	Dental Pathology

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IRB Number: IRB00000977

U OF KENTUCKY IRB #3 - MED THURSDAY MEMBER ROSTER

ALTERNATE MEMBERS

Alt #	Name	Gender	Affiliated?	Scientist?	Degree	Specialty
16	FLEISCHMAN, ROGER A. Substitutes: (5) PS, VA Rep, exp 08/31/10	M	Y	Y	MD	Internal Medicine
29	SHOOK, LORI Substitutes: (6,10) PS , Children Advocate, exp 08/31/11	F	Y	Y	MD	Pediatrics
49	TAYLOR, KATHY Substitutes: (89) NS, Prisoner Rep, Community Member, exp 08/31/11	F	N	N	MS	Corrections Administration
57	NELSON, BILL Substitutes: (89) NS, Community Member, exp 08/31/11	M	N	N	BS	Mathematics
69	REES, JOHN Substitutes: (1,9,11,54,68) OS Prisoner Rep, exp 08/31/10	M	N	Y	MS	Criminology, Correctional Administration
84	KIRSH, KEN Substitutes: (1,9,11,54,68) OS, exp 08/31/10	M	Y	Y	PhD	Hematology/Oncology
86	SEABOLD, BILL Substitutes: (89) NS, Prisoner Rep, exp 08/31/10	M	N	N	BA	Corrections
13	CIBULL, MICHAEL L. Substitutes: (6,10) PS, exp 08/31/09	M	Y	Y	MD	Pathology
36	WHITE, CAROL Substitutes: (1,9,11,54,68) OS, exp 08/31/10	F	Y	Y	MPH	Epidemiology
61	YEPES, JUAN Substitutes: (6,10) PS, exp 08/31/10	M	Y	Y	DDS, MD, MPH	Oral Health Practice
73	BLUMENSCHNEIN, KAREN Substitutes: (1,4, 7, 9,11,54,68) OS, SS, exp 08/31/11	F	Y	Y	PharmD	Pharmacology, Behavioral Science
74	SULLIVAN, DON Substitutes: (89) NS, Community Member, exp 08/31/10	M	N	N	AB	Political Science
62	RYAN, STEPHEN Substitutes: (6,10) PS, exp 08/31/10	M	Y	Y	MD	Neurology/Psychiatry
63	HALL, DEBRA Substitutes: (1,9,11,54,68) OS, exp 08/31/10	F	Y	Y	MSN	Nursing
75	HOLTMAN, JOE Substitutes: (6,10) PS, exp 08/31/10	M	Y	Y	MD, PhD	Anesthesiology
76	WHAYNE, THOMAS Substitutes: (6,10) PS, exp 08/31/09	M	Y	Y	MD	Cardiology
90	DUKE, MARY Substitutes: (5) PS, Decisionally Impaired, Children Advocate, VA Rep, exp 08/31/11	F	Y	Y	MD	Geriatrics, Pediatrics, Pathology
18	HIRSCHOWITZ, EDWARD Substitutes: (5) PS, VA Rep, exp 08/31/11	M	Y	Y	MD	Pulmonary Medicine
20	LOGAN, T. K. Substitutes: (4,7) SS, Prisoner Rep, exp 08/31/10	M	Y	Y	PhD	Applied Psychology
28	SHAPIRO, ROBERT Substitutes: (1,9,11,54,68) OS, exp 08/31/11	M	Y	Y	PhD	Exercise Physiology
35	WALKER, ROBERT Substitutes: (4,7) SS, Prisoner Rep, exp 08/31/10	M	Y	Y	MSW, LCSW, M.Div	Social Work
42	WOLF, LYLE Substitutes: (89) NS, Community Member, exp 08/31/11	M	N	N	BS	Engineering, Retired
64	MILLION, GEORGE Substitutes: (4,7) SS, Prisoner Rep, exp 08/31/10	M	N	Y	MA	Counseling Psychology
72	CUNNINGHAM, LARRY Substitutes: (6,10) PS, exp 08/31/09	M	Y	Y	DDS, MD	Oral and Maxillofacial Surgery
87	JENKINS, LEE Substitutes: (89) NS, Community Member, exp 08/31/11	M	N	N	BA	Business

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IRB Number: IRB00000977

U OF KENTUCKY IRB #3 - MED THURSDAY MEMBER ROSTER

ALTERNATE MEMBERS

88	LYNCH, ROBERT	M	N	Y	DVM	Veterinary Medicine
	Substitutes: (89) OS, Community Member, exp 08/31/11					
32	SPIKER, JAMES	M	N	N	NA	Community Member
	Substitutes: (89) NS, Community Member, exp 08/31/11					
48	KOCH, ALVARO	M	Y	Y	MD	Surgery
	Substitutes: (6,10) PS, exp 08/31/11					
58	PERRINE, WILLIAM	M	N	N	BS	Chemical Engineering (Retired)
	Substitutes: (89) NS, Community Member, exp 08/31/10					
70	MCCORMICK, MALKANTHIE	F	Y	Y	MBBS	Infectious Disease
	Substitutes: (1,9,11,54,68) OS, exp 08/31/09					
71	THOMPSON, LADONNA	F	N	N	BA	Psychology and Corrections
	Substitutes: (4,7) SS, Prisoner Rep, exp 08/31/10					
24	NOONAN, JACQUELINE	F	Y	Y	MD	Pediatrics
	Substitutes: (6,10) PS, Children Advocate, exp 08/31/10					
30	SKAFF, KAREN	F	Y	Y	PhD	Higher Education
	Substitutes: (4,7) SS, exp 08/31/09					
55	DAWSON, DOLPH	M	Y	Y	DMD, MS	Oral Health Practice
	Substitutes: (1,9,11,54,68) OS, exp 08/31/10					
56	CASEY, BARETTA	F	Y	Y	MD, FAAFP	Family Medicine
	Substitutes: (6,10) PS, SS Appalachian /Rural Medical Center Rep, exp 08/31/10					
66	HAAS, SCOTT	M	Y	Y	MD	Psychiatry, Prison Consultant
	Substitutes: (6,10) PS, Prisoner Rep, exp 08/31/10					
67	STATON-TINDALL, MICHELLE	F	Y	Y	PhD	Social Work
	Substitutes: (4,7) SS, Prisoner Rep, exp 08/31/10					
82	ROARK-TRUMBLE, KIMBERLEY	F	Y	N	MBA	Business
	Substitutes: (89) NS, exp 08/31/11					
19	KELLY, THOMAS H.	M	Y	Y	PhD	Behavioral Science
	Substitutes: (4,7) SS, exp 08/31/11					
59	MCCLUNG, JEFFREY	M	Y	Y	PhD	Exercise Physiology/Cardiac Rehab
	Substitutes: (1,9,11,54,68) OS, exp 08/31/10					
60	DAY, JAMES	M	Y	Y	MD	Internal Medicine/Pathology
	Substitutes: (5) PS, VA Rep, exp 08/31/10					
77	YANNELLI, JOHN	M	Y	Y	PhD	Immunotherapy
	Substitutes: (1,9,11,54,68) OS, exp 08/31/11					
79	RUSH, CRAIG	M	Y	Y	PhD	Behavioral Science
	Substitutes: (4,7) SS, exp 08/31/10					
80	KANUNGO, SHIBANI	F	Y	Y	MD, MPH	Pediatrics
	Substitutes: (6,10) PS, exp 08/31/10					

Submission Number: 19770

IRB Number: IRB00004367

U OF KENTUCKY IRB #5 - NONMEDICAL FRIDAY MEMBER ROSTER

VOTING MEMBERS

Mem #	Name	Gender	Affiliated?	Scientist?	Degree	Specialty
1	(Chair) VAN TUBERGEN, NORMAN Comment: OS, exp 08/31/10	M	Y	Y	PhD	Communication
2	FORD, JANET Comment: SS Vice Chair, exp 08/31/10	F	Y	Y	PhD	Social Work
8	SCHUSTER, JOHN Comment: SS Childrens Advocate, exp 08/31/11	M	Y	Y	EdD	Special Education
7	LANGLEY, RON Comment: SS, exp 08/31/10	M	Y	Y	PhD	Political Science
68	GENTRY, WILLIAM Comment: NS, Community Member, exp 08/31/11	M	N	N	BS	Business Management
69	SHANNON, GARY Comment: OS, exp 8/31/12	M	Y	Y	PhD	Geography
44	FLAHERTY, CHRIS Comment: SS, exp 08/31/11	M	Y	Y	PhD	Social Work
4	FILLMORE, MARK Comment: SS, exp 08/31/10	M	Y	Y	PhD	Psychology

Submission Number: 19770

IRB Number: IRB00004367

U OF KENTUCKY IRB #5 - NONMEDICAL FRIDAY MEMBER ROSTER

ALTERNATE MEMBERS

Alt #	Name	Gender	Affiliated?	Scientist?	Degree	Specialty
14	CRYSTAL, RALPH Substitutes: (2,4,7,8,44) SS, Childrens Advocate, Vice Chair, exp 08/31/09	M	Y	Y	PhD	Special Education
17	LOGAN, T. K. Substitutes: (2,4,7,8,44) SS, Prisoner Rep, exp 08/31/10	F	Y	Y	PhD	Applied Psychology
52	MILLION, GEORGE Substitutes: (2,4,7,8,44) SS, Prisoner Rep, exp 08/31/10	M	N	Y	MA	Counseling Psychology
59	THOMPSON, LADONNA Substitutes: (2,4,7,8,44) SS, Prisoner Rep, exp 08/31/10	F	N	N	BA	Psychology and Corrections
60	ROARK-TRUMBLE, KIMBERLEY Substitutes: (68) NS, exp 08/31/11	F	Y	N	MBA	Business
15	KERN, MONICA Substitutes: (2,4,7,8,44) SS, exp 08/31/09	F	Y	Y	PhD	Psychology
64	BEIGHLE, AARON Substitutes: (1,69) OS, exp 08/31/11	M	Y	Y	PhD	Physical Education/Kinesiology
39	TAYLOR, KATHY Substitutes: (68) NS, Prisoner Rep, Community Member, exp 08/31/11	F	N	N	MS	Corrections Administration
62	SEABOLD, BILL Substitutes: (68) NS, Prisoner Rep, exp 08/31/10	M	N	N	BA	Corrections
63	KIRSH, KEN Substitutes: (1) OS, exp 08/31/10	M	Y	Y	PhD	Hematology/Oncology
50	HALL, DEBRA Substitutes: (1,69) OS, exp 08/31/10	F	Y	Y	MSN	Nursing
61	HARPER, LEE Substitutes: (68) NS, Community Member, exp 08/31/11	M	N	N	N/A	Business (Retired)
10	BERRY, DAVID Substitutes: (2,4,7,8,44) SS, exp 08/31/09	M	Y	Y	PhD	Psychology
54	HANSEN, GARY Substitutes: (2,4,7,8,44) SS, Children Advocate, exp 08/31/10	M	Y	Y	PhD	Sociology
65	LEVSTIK, LINDA Substitutes: (2,4,7,8,44) SS, exp 08/31/11	F	Y	Y	PhD	Social Studies/Humanities
36	JANOSKI, TOM Substitutes: (2,4,7,8,44) SS, exp 08/31/11	M	Y	Y	PhD	Sociology
48	NELSON, BILL Substitutes: (68) NS, Community Member, exp 08/31/11	M	N	N	BS	Mathmatics
58	REES, JOHN Substitutes: (1,69) OS, Prisoner Rep, exp 08/31/10	M	N	Y	MS	Criminology, Correctional Administration
21	SHAPIRO, ROBERT Substitutes: (1,69) OS, exp 08/31/11	M	Y	Y	PhD	Exercise Physiology
26	WALKER, ROBERT Substitutes: (2,4,7,8,44) SS, Prisoner Rep, exp 08/31/09	M	Y	Y	MSW, LCSW, M.Div	Social Work
38	CLARK, JAMES Substitutes: (2,4,7,8,44) SS, Prisoner Rep, exp 08/31/11	M	Y	Y	PhD	Social Work
47	CASEY, BARETTA Substitutes: (2,4,7,8,44) SS, PS, Appalachian/Rural Medical Center Rep, exp 08/31/10	F	Y	Y	MD, FAAFP	Family Medicine
55	HAAS, SCOTT Substitutes: (2,4,7,8,44) SS, PS, Prisoner Rep, exp 08/31/10	M	Y	Y	MD	Psychiatry, Prison Consultant
56	STATON-TINDALL, MICHELLE Substitutes: (2,4,7,8,44) SS, Prisoner Rep, exp 08/31/10	F	Y	Y	PhD	Social Work
23	VAN WILLIGEN, JOHN Substitutes: (2,4,7,8,44) SS, exp 08/31/11	M	Y	Y	PhD	Anthropology

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U OF KENTUCKY IRB #5 - NONMEDICAL FRIDAY MEMBER ROSTER

ALTERNATE MEMBERS

33	SPIKER, JAMES	M	N	N	N/A	Retired
	Substitutes: (68) NS, Community Member, exp 08/31/11					
57	GIANCOLA, PETER	M	Y	Y	PHD	Clinical Psychology
	Substitutes: (2,4,7,8,44) SS, exp 08/31/10					
66	LYON, SARAH	F	Y	Y	PhD	Anthropology
	Substitutes: (2,4,7,8,44) SS, exp 08/31/11					
67	SULLIVAN, DON	M	N	N	AB	Polical Science
	Substitutes: (68) NS, Community Member, exp 08/31/10					

Submission Number: 19770

IRB Number: IRB00005975

U OF KENTUCKY & DEPT VAMC-FACILITY 596 IRB #6 - MED WEDNESDAY MEMBER ROSTER

VOTING MEMBERS

Mem #	Name	Gender	Affiliated?	Scientist?	Degree	Specialty
1	(Chair) FOSTER, THOMAS Comment: OS, exp 08/31/09	M	Y	Y	PHARM.D.	Pharmacology
50	FLEISCHMAN, ROGER Comment: PS, VA Rep, exp 08/31/10	M	Y	Y	MD	Hematology/Oncology
7	RUSH, CRAIG Comment: SS, Vice Chair, exp 08/31/10	M	Y	Y	PhD	Behavioral Science
46	MCCLUNG, JEFFREY Comment: OS, exp 08/31/10	M	Y	Y	PhD	Exercise Physiology/Cardiac Rehab
49	YEPES, JUAN Comment: PS, exp 08/31/10	M	Y	Y	DDS, MD, MPH	Oral Health Practice
6	NELSON, BILL Comment: NS, Community Member, exp 08/31/11	M	N	N	BS	Mathmatics
61	KANUNGO, SHIBANI Comment: PS, Childrens Advocate, exp 08/31/10	F	Y	Y	MD, MPH	Pediatrics
47	PERRINE, WILLIAM Comment: NS, Community Member, exp 08/31/11	M	N	N	BS	Chemical Engineer (Retired)
3	YANNELLI, JOHN Comment: OS, exp 08/31/11	M	Y	Y	PhD	Immunotherapy
48	RYAN, STEPHEN Comment: PS, Decisionally Impaired, exp 08/31/10	M	Y	Y	MD	Neurology/Psychiatry
68	DUKE, MARY Comment: PS, Decisionally Impaired, Children Advocate, VA Rep, exp 08/31/11	F	Y	Y	MD	Geriatrics, Pediatrics, Pathology

Submission Number: 19770

IRB Number: IRB00005975

U OF KENTUCKY & DEPT VAMC-FACILITY 596 IRB #6 - MED WEDNESDAY MEMBER ROSTER

ALTERNATE MEMBERS

Alt #	Name	Gender	Affiliated?	Scientist?	Degree	Specialty
18	HIRSCHOWITZ, EDWARD Substitutes: (50,68) PS, VA Rep, exp 08/31/11	M	Y	Y	MD	Pulmonary Oncology
32	MALONE, TERRY Substitutes: (7) SS, exp 08/31/11	M	Y	Y	EdD	PT Assessment
33	RICE, LINDA Substitutes: (1,3,46) OS, exp 08/31/10	F	Y	Y	RN	Nursing
42	TAYLOR, KATHY Substitutes: (6) NS, Prisoner Rep, exp 08/31/11	F	N	N	MS	Corrections Administration
52	HALL, DEBRA Substitutes: (1,3,46) OS, exp 08/31/10	F	Y	Y	MSN	Nursing
15	CUNNINGHAM, LARRY Substitutes: (48,49, 61) PS, exp 08/31/09	M	Y	Y	DDS, MD	Oral and Maxillofacial Surgery
27	NOONAN, JACQUELINE Substitutes: (48,49,61) PS, Childrens Advocate, exp 08/31/10	F	Y	Y	MD	Pediatrics
31	JOHNSTON, THOMAS Substitutes: (48,49,61) PS, Childrens Advocate, exp 08/31/10	M	Y	Y	MD	Nephrology, Pediatrics
57	DAMM, DOUGLAS Substitutes: (1,3,46) OS, exp 08/31/10	M	Y	Y	DDS	Dental Pathology
65	ROARK-TRUMBLE, KIMBERLEY Substitutes: (6,47) NS, exp 08/31/11	F	Y	N	MBA	Business
38	MCCORMICK, MALKANTHIE Substitutes: (1,3,46) OS, exp 08/31/09	F	Y	Y	MBBS	Infectious Disease
69	SEABOLD, BILL Substitutes: (6,47) NS, Prisoner Rep, exp 08/31/10	M	N	N	BA	Corrections
19	HOLTMAN, JOE Substitutes: (48,49, 61) PS, exp 08/31/10	M	Y	Y	MD, PhD	Anesthesiology
51	DAY, JAMES Substitutes: (50,68) PS, VA Rep, exp 08/31/10	M	Y	Y	MD	Internal Medicine/Pathology
62	SULLIVAN, DON Substitutes: (6,47) NS, Community Member, exp 08/31/10	M	N	N	AB	Political Science
63	KELLY, THOMAS H. Substitutes: (7) SS, exp 08/31/11	M	Y	Y	PhD	Behavioral Science
23	SHOOK, LORI Substitutes: (48,49, 61) PS, Children's Advocate, exp 08/31/11	F	Y	Y	MD	Pediatrics
25	SPIKER, JAMES Substitutes: (6,47) NS, Community Member, exp 08/31/11	M	N	N	MS	Colonel US Army (Retired)
40	CASEY, BARETTA Substitutes: (7,48,49,61) PS, SS, Appalachian/Rural Medical Center Rep, exp 08/31/10	F	Y	Y	MD, FAFFP	Family Medicine
55	HAAS, SCOTT Substitutes: (48,49) PS, Prisoner Rep, exp 08/31/10	M	Y	Y	MD	Psychiatry, Prison Consultant
56	STATON-TINDALL, MICHELLE Substitutes: (7) SS, Prisoner Rep, exp 08/31/10	F	Y	Y	PhD	Social Work
66	HARPER, LEE Substitutes: (6,47) NS, Community Member, exp 08/31/11	M	N	N	N/A	Business (Retired)
67	KIRSH, KEN Substitutes: (1,3,46) OS, exp 08/31/10	M	Y	Y	PhD	Hematology/Oncology
14	WOLF, LYLE Substitutes: (6,47) NS, Community Member, exp 08/31/11	M	N	N	BE	Engineering (Retired)
16	DAWSON, DOLPH Substitutes: (1,3,46) OS, exp 08/31/10	M	Y	Y	DMD, MS	Oral Health Practice

Submission Number: 19770

IRB Number: IRB00005975

U OF KENTUCKY & DEPT VAMC-FACILITY 596 IRB #6 - MED WEDNESDAY MEMBER ROSTER

ALTERNATE MEMBERS

21	WALKER, ROBERT	M	Y	Y	MSW, LCSW, M.Div	Social Work
	Substitutes: (7) SS, Prisoner Rep, exp 08/31/10					
24	SKAFF, KAREN	F	Y	Y	PhD	Higher Education
	Substitutes: (7) SS, exp 08/31/09					
36	BAKER, DORIS	F	Y	Y	PhD	Clinical Sciences
	Substitutes: (1,3,46) OS, exp 08/31/09					
53	MILLION, GEORGE	M	N	Y	MA	Counseling Psychology
	Substitutes: (7) SS, Prisoner Rep, exp 08/31/10					
64	WILSON, GEORGE	M	N	N	N/A	Business (Retired)
	Substitutes: (6,47) NS, Community Member, exp 08/31/11					
10	CIBULL, MICHAEL	M	Y	Y	MD	Pathology
	Substitutes: (48,49, 61) PS, exp 08/31/09					
17	BLUMENSCHIN, KAREN	F	Y	Y	PharmD	Pharmacology, Behavioral Science
	Substitutes: (1,3,7,46) OS, SS, exp 08/31/11					
22	WHAYNE, THOMAS	M	Y	Y	MD	Cardiology
	Substitutes: (48,49, 61) PS, exp 08/31/09					
29	HAHN, ELLEN	F	Y	Y	Rn, DNS	Drug Prevention
	Substitutes: (7) SS, exp 08/31/11					
34	THORNTON, ALICE	F	Y	Y	MD	Infectious Disease
	Substitutes: 48,49,61) PS, exp 08/31/10					
58	REES, JOHN	M	N	Y	MS	Criminology, Correctional Administration
	Substitutes: (1,3,46) OS Prisoner Rep, exp 08/31/10					
70	JENKINS, LEE	M	N	N	BA	Business
	Substitutes: (6,47) NS, Community Member, exp 08/31/11					
71	LYNCH, ROBERT	M	N	Y	DVM	Veterinary Medicine
	Substitutes: (6,47) OS, Community Member, exp 08/31/11					
20	WHITE, CAROL	F	Y	Y	MPH	Epidemiology
	Substitutes: (1,3,46) OS, exp 08/31/10					
30	HOLLEMAN, DONALD	M	Y	Y	MD	Internal Medicine
	Substitutes: (50,68) PS, VA Rep, exp 08/31/11					
44	LOGAN, T.K.	F	Y	Y	PhD	Applied Psychology
	Substitutes: (7) SS, Prisoner Rep, exp 08/31/10					
59	KOCH, ALVARO	M	Y	Y	MD	Transplant Surgery, Gastroenterology
	Substitutes: (48,49,61) PS, exp 08/31/11					
60	THOMPSON, LADONNA	F	N	N	BA	Psychology and Corrections
	Substitutes: (7) SS, Prisoner Rep, exp 08/31/10					

Submission Number: 18849

IRB Number: IRB00005975

U OF KENTUCKY & DEPT VAMC-FACILITY 596 IRB #6 - MED WEDNESDAY MEMBER ROSTER

ALTERNATE MEMBERS

57	DAMM, DOUGLAS	M	Y	Y	DDS	Dental Pathology
	Substitutes: (1,3,46) OS, exp 08/31/10					
58	REES, JOHN	M	N	Y	MS	Criminology, Correctional Administration
	Substitutes: (1,3,46) OS Prisoner Rep, exp 08/31/10					
19	HOLTMAN, JOE	M	Y	Y	MD, PhD	Anesthesiology
	Substitutes: (48,49, 61) PS, exp 08/31/10					
29	HAHN, ELLEN	F	Y	Y	Rn, DNS	Drug Prevention
	Substitutes: (7) SS, exp 08/31/11					
31	JOHNSTON, THOMAS	M	Y	Y	MD	Nephrology, Pediatrics
	Substitutes: (48,49,61) PS, Childrens Advocate, exp 08/31/10					
44	LOGAN, T.K.	F	Y	Y	PhD	Applied Psychology
	Substitutes: (7) SS, Prisoner Rep, exp 08/31/10					
53	MILLION, GEORGE	M	N	Y	MA	Counseling Psychology
	Substitutes: (7) SS, Prisoner Rep, exp 08/31/10					
65	ROARK-TRUMBLE, KIMBERLEY	F	Y	N	MBA	Business
	Substitutes: (6,47) NS, exp 08/31/11					
66	HARPER, LEE	M	N	N	N/A	Business (Retired)
	Substitutes: (6,47) NS, Community Member, exp 08/31/11					
14	WOLF, LYLE	M	N	N	BE	Engineering (Retired)
	Substitutes: (6,47) NS, Community Member, exp 08/31/11					
60	THOMPSON, LADONNA	F	N	N	BA	Psychology and Corrections
	Substitutes: (7) SS, Prisoner Rep, exp 08/31/10					
20	WHITE, CAROL	F	Y	Y	MPH	Epidemiology
	Substitutes: (1,3,46) OS, exp 08/31/10					
22	WHAYNE, THOMAS	M	Y	Y	MD	Cardiology
	Substitutes: (48,49, 61) PS, exp 08/31/09					
30	HOLLEMAN, DONALD	M	Y	Y	MD	Internal Medicine
	Substitutes: (50,68) PS, VA Rep, exp 08/31/11					
33	RICE, LINDA	F	Y	Y	RN	Nursing
	Substitutes: (1,3,46) OS, exp 08/31/10					
51	DAY, JAMES	M	Y	Y	MD	Internal Medicine/Pathology
	Substitutes: (50,68) PS, VA Rep, exp 08/31/10					
62	SULLIVAN, DON	M	N	N	AB	Political Science
	Substitutes: (6,47) NS, Community Member, exp 08/31/10					
63	KELLY, THOMAS H.	M	Y	Y	PhD	Behavioral Science
	Substitutes: (7) SS, exp 08/31/11					

INSTRUCTIONS FOR SUBMISSION OF IRB CERTIFICATION FORMS TO EXTERNAL FUNDING AGENCIES

The University of Kentucky's Institutional Review Boards (IRBs) and the Office of Research Integrity (ORI) are not directly involved in the grant/contract mechanism. The IRBs are University committees composed of faculty members who are appointed by the institution. The Boards are responsible for reviewing all research activities involving human subjects irrespective of the source of funding. Therefore, it is critical that an investigator who plans to submit a proposal to an external funding agency be familiar with the agency's and the University's policies regarding the certification of IRB review and approval.

Overview of Funding Agencies' Human Subject Certification Requirements

Most funding agencies (e.g., National Institutes of Health, Robert Wood Johnson Foundation, etc.) require that research projects involving human subjects be reviewed by an institutional review board prior to the submission of a grant/contract proposal. Formal certification that a research proposal has received the appropriate IRB approval must be sent to the agency.

The certification requirements vary from agency to agency. For example, some agencies have a special form or "item" on the application which must be completed by the applicant and signed by the University's Authorized Institutional Official. The designated official at this University is Debbie Davis, Director of the Office of Sponsored Projects Administration on behalf of Jack Supplee, Associate Director of the University of Kentucky Research Foundation. Other agencies accept the University's Institutional Review Board (IRB) approval letter which is signed by the IRB chairperson.

Agencies also differ with respect to their flexibility in accepting certification notices after the proposal has been received. For example, when submitting an application to the National Institutes of Health (NIH), it is critical that certification of approval be transmitted to the agency as soon as possible after the submission of the grant application. The grant application will not be reviewed if the certification document is not submitted before the Study Sections are convened. Other agencies may not require that certification be sent until the final decision with respect to funding status has been made. On the other hand, some agencies will not accept an application unless IRB certification is included. Questions regarding agency IRB certification policies should be directed either to the individual agencies or to the Office of Sponsored Projects Administration staff.

University Certification Procedures

In the past, problems between investigators, the IRB and Sponsored Projects Administration have arisen because of confusion with respect to the responsibilities of the various internal constituencies. Consequently, an overview of the University of Kentucky's IRB certification procedures is included on the next page. Questions concerning these procedures should be directed to the Office of Research Integrity at 859-257-9428.

Initial Certification of Review

1. Whenever possible, the research project should be submitted to the IRB prior to submission of the grant/contract application to the agency. The investigator is responsible for submitting the project for IRB review in a timely fashion.
2. If, due to the time constraints of the investigator, the research project has not been submitted to or reviewed by the IRB at the date of submission of the grant application to the agency, then the investigator is responsible for submitting the project to the IRB as soon as possible. NOTE: Sponsored Projects Administration does not submit a protocol to the IRB; it is the investigator's responsibility to do so.
3. After final IRB approval has been obtained, it is the investigator's responsibility to submit the proper certification form to the sponsor. The investigator should contact the Office of Research Integrity, requesting that the certification document be prepared. The Office of Research Integrity will then prepare the form and obtain the authorizing official's signature. The investigator is responsible for transmitting the form to the agency.

Resubmission of Previously Rejected Grant Applications to Funding Agencies

1. In the event that a research project previously approved by the IRB is resubmitted to an external funding agency, the investigator is responsible for contacting the Office of Research Integrity to determine the current IRB status of the proposal before the grant application is submitted to the agency.
2. If IRB approval has not been obtained within the 12-month period preceding the agency's submission deadline, submission of a new application to the IRB is necessary. A new certification document cannot be issued until the IRB has reviewed the study in accordance with the regular review procedures.
3. If IRB approval has been obtained in the 12 months preceding the agency submission deadline, a new IRB application need not be submitted to the IRB, provided no changes have been made in the research activities which affect the human research subjects. The investigator is responsible for submitting: a) a letter to the IRB indicating that the revised application does not include modifications regarding the human subjects' participation; b) a revised GENERAL INFORMATION SHEET, if appropriate. The investigator must request that the Office of Research Integrity prepare the certification document, as specified by the funding agency.
4. If the revised grant application includes changes which affect the human subjects, submission of a new application to the IRB is not necessary, provided the previously reviewed study had received IRB approval in the 12 months preceding the agency deadline. The investigator is required, however, to submit to the IRB the following: a) a revised GENERAL INFORMATION SHEET; b) a description of the proposed changes; c) revised consent and/or assent form; d) a revised copy of the grant application. Instructions for submitting a request for approval of modifications may be obtained from the Office of Research Integrity.

After the proposed modifications have been approved by the IRB, the investigator should contact the Office of Research Integrity, requesting that the certification document be prepared. The investigator is responsible for transmitting the form to the agency.

Rejected Grant Proposals: No Plans for Resubmission or Implementation of Research

If the grant proposal is rejected and if the investigator does not intend to implement the research project, the investigator should notify the Office of Research Integrity so that the file may be appropriately closed out.

Externally Funded Projects: Annual Recertification Procedures

Most funding agencies require that funded research projects involving human subjects receive a Continuation Review by the IRB at least once every 12 months. The agencies also require that an updated certification document be submitted at specified intervals. The Office of Research Integrity cannot provide an updated certification document unless the project has been reviewed and approved in accordance with the University's Continuation Review procedures.

The investigator is responsible for completing, at specified intervals, a Continuation Review Report form. A complete description of the IRB's Continuation Review procedures may be obtained from the Office of Research Integrity at 859-257-3038.

Once IRB approval of the Continuation Review report has been obtained, the investigator should contact the Office of Research Integrity, requesting that the recertification document be prepared. The investigator is responsible for transmitting the certification to the agency.

"Umbrella" Grant Certification

To prepare a certification form (HHS 310) for those grants/contracts which fund more than one IRB protocol, the Principal Investigator must provide the Office of Research Integrity with a list of IRB numbers which apply to that particular grant/contract. These numbers will be cross-checked by the Office of Research Integrity for approval before certification is issued. Once IRB approval has been verified, the appropriate documentation will be prepared. The investigator is responsible for transmitting the certification to the agency.