

## **Sample Conflict of Interest Management Plan**

Title: Green Enzymes as a Marker for Lung Cancer

Sponsor: Greenzyme, LLC.

Description of the study: Lung cancer is a leading cause of cancer related mortality in the US. It is now understood that green enzymes (GZ) block a critical pathway of cell proliferation. This project proposes to optimize the potency of GZ to further develop GZ as an anti-cancer agent.

Description of the conflict:

This plan is being implemented because Dr. Baker has disclosed a significant personal financial interest in Greenzyme, LLC. Dr. Baker is a founder of the company, the Chief Scientific Officer, and owns 25% equity. Greenzyme has applied for and received an STTR award from the National Institutes of Health and has issued a subagreement to UKRF. This project does not involve human subjects.

The proposed management plan is as follows:

- 1) Dr. Baker will disclose his personal financial interest in writing to all university staff and students involved in the project. Dr. Baker will provide a copy of the letter to OSPA. Staff and student should also be instructed to contact the Associate Dean for Research, their faculty advisor, the OSPA Conflict of Interest Administrator, or OSPA Director if they have any concerns or questions about the work being conducted.
- 2) Dr. Baker will disclose his personal financial interest in any presentation or publication resulting from the research.
- 3) Dr. Baker will perform only the work described in the subagreement to UK in university facilities. Company activities will be maintained separately and will not use university resources.
- 4) Dr. Sims, a senior faculty member in the college with expertise in statistics will perform data analyses on study results.
- 5) A committee comprised of Drs. Mark Davis, William Brown, and Susan Smith who have expertise in metabolic pathways, molecular modeling, and statistics, respectively, will provide general oversight and review of the research. The committee members have no relationship to the project. Dr. Baker will schedule a meeting with the committee as soon as possible following acceptance of this plan and at least annually (or at the end of the project, whichever is first) to review progress of the project.

- 6) The committee will review progress of the project and submit to OSPA a brief annual report which outlines the review activities conducted during the year. A copy of the plan will be provided to committee members after it is approved and signed by the Vice President for Research.

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Dr. Baker

I concur with the plan:

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Graceann Hart, Associate Dean for Research