

Conflict of Interest Management Plan

Title:

Sponsor:

Description of study:

Description of the conflict, including % equity, if any, related intellectual property or discoveries, and any executive role if a company is involved:

The proposed management plan is as follows:

1. _____ will disclose his/her personal financial interest in writing to all university employees and students involved in the project. University employees and students will be instructed to contact their college's Associate Dean for Research, their faculty advisor, the Office of Sponsored Project Administration (OSPA) Conflict of Interest Administrator, OSPA Director or the UK hotline to report Ethical Issues at 1-877-898-6072 if they have concerns or questions regarding ethical issues associated with the research project. (see OSPA COI web link for the form: Staff/Students Notification Form). If graduate students are involved and you are the Chair of their dissertation committee, who will be the Co-Chair of their committee?
2. If clinical research, how will you inform the clinical trial volunteers?
3. I will disclose my financial interest in any presentation or publication resulting from the research. I will disclose to my COI oversight committee plans for all patents generated from the project. All intellectual property will go through UK.
4. If the award is from a company, I will perform only the work described in the agreement to the UK Research Foundation in university facilities. Company activities will be maintained separately and will not use university resources.
5. _____ will perform data analysis on study results.

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6. A committee comprised of _____ who have expertise in _____ respectively (provide name, title, and area of expertise.) The committee members have no relationship to the project. (In considering appropriateness of members of a COI oversight committee, the central Research COI Committee (RCOIC) has advised that the members should typically made up of individuals of equal or higher academic rank. Alternately, members may come from outside the researcher's chain of command, such as a different department or different college.)

7. The COI oversight committee must submit to OSPA a brief annual report which outlines the review activities conducted during the year. The conflicted investigator should provide the local oversight committee any publications/presentations from this project. Failure to submit an annual report will result in the RCOIC's recommendation to OSPA to freeze active accounts and not establish new accounts until the annual report is approved by the RCOIC. A copy of the plan will be provided to committee members after it is approved and signed by the Vice President for Research.

Investigator's name

I concur with the COI management plan:

_____ Associate Dean for Research